

UNITED STATES DEPARTMENT OF COMMERCE

Patent and Trademark Office

Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

Section 101

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
--------------------	---------------------	-----------------------	---------------------------

09/298,603 04/23/99 KLOTS

B 50277210

0212/0521

MCDERMOTT WILL & EMERY
600 13TH STREET N W
WASHINGTON DC 20005-3096

NOT ASSIGNED

DATE MAILED 776

05/21/99

NOTICE TO FILE MISSING PARTS OF APPLICATION

Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the **SURCHARGE** set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☐ small entity (statement filed) ☐ non-small entity is \$ 130.00.

☐ 1. The statutory basic filing fee is:

- ☐ missing.
- ☐ insufficient.

Applicant must submit \$ _____ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☐ 2. The following additional claims fees are due:

\$ _____ for _____ total claims over 20.

\$ _____ for _____ independent claims over 3.

\$ _____ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☐ 3. The oath or declaration:

- ☐ is missing or unsigned.
- ☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☒ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:Second Inventor Roger Bamford

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).☐ 7. Your filing receipt was mailed in error because your check was returned without payment.☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

☐ 9. OTHER _____

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

BEST AVAILABLE COPY

Docket No.: 50277-210

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

BORIS KLOTS, et al.

Serial No.: 09/298,603

Filed: April 23, 1999

For: COMMUNICATION ARCHITECTURE FOR DISTRIBUTED COMPUTING
ENVIRONMENT



Group Art Unit: 2776

Examiner: Not Assigned

**RESPONSE TO NOTICE
OF MISSING PARTS OF APPLICATION**

Box Missing Parts
Assistant Commissioner for Patents
Washington, DC 20231

Sir:

In response to the Notice To File Missing Parts of Application dated May 21, 1999,
submitted herewith are photocopies of the following documents which were filed together with
the patent application in the U.S. Patent and Trademark Office on April 23, 1999:

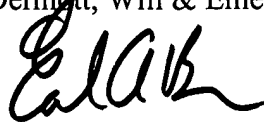
1. Declaration for Patent Application signed by second inventor ROGER BAMFORD;
2. The Utility Patent Application transmittal;
3. The Early Serial Number postcard returned from the USPTO confirming receipt of the documents indicated;
4. The U.S. Post Office Express Mail receipt confirming the filing date of April 23, 1999.

Since a Declaration for the second inventor ROGER BAMFORD was properly filed with
the application on April 23, 1999, it is respectfully submitted that the Notice to File Missing

Parts of Application was generated in error. Accordingly, the late filing fee surcharge has not been submitted and the issuance of a corrected official filing receipt is respectfully requested.

Respectfully submitted,

McDermott, Will & Emery



Edward A. Becker
Registration No. 37,777

600 13th Street, N.W.
Washington, DC 20005-3096
(408) 271-2300 EAB:ccf
Date: June 1, 1999

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, DC 20231

on

6/1/99

by

Clare C. Finney

Docket No.: 50277-210



UTILITY PATENT APPLICATION
UNDER 37 CFR 1.53(b)

Box PATENT APPLICATION
Assistant Commissioner for Patents
Washington, DC 20231
Sir:

Transmitted herewith for filing is the patent application of:

INVENTORS: BORIS KLOTS, ROGER BAMFORD
FOR: COMMUNICATION ARCHITECTURE FOR DISTRIBUTED COMPUTING
ENVIRONMENT

Enclosed are:

- ☒ 26 pages of specification, claims, abstract.
- ☒ Declaration (2 sets).
- ☒ Power of Attorney.
- ☒ 5 sheets of formal drawing.
- ☒ An assignment of the invention to Oracle Corporation
and the assignment recordation fee.
- ☐ Information Disclosure Statement, Form PTO-1449 and reference.
- ☒ Return Receipt Postcard

The filing fee has been calculated as shown below:

	NO. OF CLAIMS		EXTRA CLAIMS	RATE	AMOUNT
Total Claims	29	-20	9	\$18.00	\$162.00
Independent Claims	4	-3	1	\$78.00	\$78.00
Multiple Dependent Claim(s)					\$0.00
Basic Fee					\$760.00
Total of Above Calculations					\$1,000.00
Less 1/2 for Small Entity					\$0.00
Assignment & Recording Fee					\$40.00
Total Fee					\$1,040.00

- ☒ Please charge my Deposit Account No. 50-0385 in the amount of \$1,040.00. A duplicate copy of this sheet is enclosed.

- ☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 50-0385. A duplicate copy is enclosed.
- ☒ Any additional filing fees required under 37 CFR 1.16.
- ☒ The Commissioner is hereby authorized to charge payment of the following fees during the pendency of this application or credit any overpayment to Deposit Account No. 50-0385. A duplicate copy of this sheet is enclosed.
- ☒ Any patent application processing fees under 37 CFR 1.17.
- ☒ Any filing fees under 37 CFR 1.16 for presentation of extra claims.

Respectfully submitted,

McDERMOTT, WILL & EMERY



Edward A. Becker
Registration No. 37,777

600 13th Street, N.W.
Washington, DC 20005-3096
(202) 756-8000 EAB:ccf
Date: April 23, 1999
Facsimile: (202) 756-8699

EL283673201US

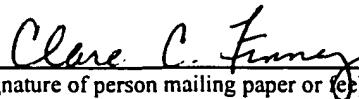
EXPRESS MAIL CERTIFICATE OF MAILING

"Express Mail" mailing label number EL283673201US Date of Deposit 4/23/99

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231.

CLARE C. FINNEY

(Typed or printed name of person mailing paper or fee)



(Signature of person mailing paper or fee)



Applicant: B. Klotz et al. Docket No. 52277-210
Title/Mark: Communication Architecture Serial/Reg./Patent No. New
Date Sent: 4/23/99 ☐ Hand Carried ☐ 1st-Class Mail ☐ Cert. of Mailing ☒ Express Mail No. EL28367320145
☐ Transmittal Letter
☒ Utility/Design/Continuation/CIP/FWC/Divisional/PCT
☐ Other: _____
_____ pages of Specification
_____ pages of Claims
_____ pages of Abstract
_____ pages of Formal/Informal Drawings
☐ Information Disclosure Statement
_____ Form PTO 1449
_____ copies of cited references
☐ Preliminary Amendment
☐ Response to Missing Parts Notice
☐ Certified Copy of Priority Doc.
☐ Claim for Convention Priority
☐ Verified Statement of Small Entity Status
☐ Transmittal of Issue Fee: Form PTO 85b _____ Form PTO 85C _____
☐ Maintenance Fee for _____ years after grant
☐ Notice of Appeal ☐ Appeal Brief
☒ Recordation of ☒ Patent ☐ Trademark: Assignments/Security Agreements/
☐ Response/Amendment to Office Action of _____ ☐ Petition to Commissioner for _____
☐ Trademark/Service Mark/Intent-To-Use Application
☐ Amendment to Allege Use ☐ Statement of Use
☐ Formal/Informal/Substitute Drawing Sheet
_____ (no. of) Substitute/Speimens
☐ Continued Use Affidavit/Declaration Section 8 & 15
☐ Notice of Opposition/Answer to
☐ Ex Parte Appeal
☐ Petition for Cancellation/ Answer to
☐ Renewal of Reg. No. _____
☒ Combined Declaration/Power of Attorney
☐ Letter of Protest (2 Set) By _____
☐ Request for _____-day/month Extension of Time
RECEIVED
MAY 5 - 1999
JC618 U.S. PTO
09/298603
04/23/99

Other: _____

Check for \$ _____ ☒ Total Deposit Account charge for \$ 1,040 - Attorney: EAB/CCF (4262)
☐ CHI ☐ WASH (✓ SJ)

CLO2 Descrip.: (1) 760 - (3) 240 (4) 40 -

THE PATENT AND TRADEMARK OFFICE DATE STAMPED HEREON IS ACKNOWLEDGEMENT THAT THE ITEMS, CHECKED ABOVE, WERE RECEIVED BY THE PTO ON THE DATE STAMPED.

EARLY SERIAL NUMBER



EL283673201US



* EL283673201US *

POST OFFICE TO ADDRESSEE

ORIGIN (POSTAL USE ONLY)			
PO ZIP Code 95172	Day of Delivery <input type="checkbox"/> Next <input checked="" type="checkbox"/> Second	Flat Rate Envelope <input type="checkbox"/>	
Date In 4/23/99 Mo. Day Year	<input checked="" type="checkbox"/> 12 Noon <input type="checkbox"/> 3 PM	Postage \$ 1.525	
Time In <input type="checkbox"/> AM <input checked="" type="checkbox"/> PM	Military <input type="checkbox"/> 2nd Day <input type="checkbox"/> 3rd Day	Return Receipt Fee	
Weight 1 lb. 10 ozs.	Int'l Alpha Country Code	COD Fee	Insurance Fee
No Delivery <input type="checkbox"/> Weekend <input type="checkbox"/> Holiday	Acceptance Clerk Initials [Signature]	Total Postage & Fees \$ 1.525	

SEE REVERSE SIDE FOR
SERVICE GUARANTEE AND LIMITS
ON INSURANCE COVERAGE

CUSTOMER USE ONLY	
METHOD OF PAYMENT: Express Mail Corporate Acct. No. _____ Federal Agency Acct. No. or Postal Service Acct. No. _____	
<input type="checkbox"/> WAIVER OF SIGNATURE (Domestic Only) Additional merchandise insurance is void if waiver of signature is requested. I wish delivery to be made without obtaining signature of addressee or addressee's agent (if delivery employee judges that article can be left in secure location) and I authorize that delivery employee's signature constitutes valid proof of delivery.	
NO DELIVERY <input checked="" type="checkbox"/> Weekend <input checked="" type="checkbox"/> Holiday	
FROM: (PLEASE PRINT) PHONE (415) 271-2300 MODERMOTT WILL & EMERY 50 W SAN FERNANDO ST STE 330 SAN JOSE CA 95113-2415 (50277-210)	TO: (PLEASE PRINT) PHONE () ASSISTANT COMMISSIONER FOR PATENTS SOX PATENT APPLICATION WASHINGTON DC 20231-0001

PRESS HARD.

You are making 3 copies.

FOR PICKUP OR TRACKING CALL 1-800-222-1811

www.usps.gov



Customer Copy